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**Germany-Frankfurt am Main: External Support for On-site Missions  
2021/S 107-278184**

**Contract notice**

**Services**

**Legal Basis:**

Directive 2014/24/EU

**Section I: Contracting authority**

**I.1) Name and addresses**

Official name: European Central Bank  
Postal address: Sonnemannstrasse 22  
Town: Frankfurt am Main  
NUTS code: DE712 Frankfurt am Main, Kreisfreie Stadt  
Postal code: 60314  
Country: Germany  
Contact person: Central Procurement Office  
E-mail: [procurement@ecb.europa.eu](mailto:procurement@ecb.europa.eu)  
Telephone: +49 69/13440  
Fax: +49 69/13447110

**Internet address(es):**

Main address: <http://www.ecb.europa.eu>

**I.3) Communication**

The procurement documents are available for unrestricted and full direct access, free of charge, at: <http://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>  
Additional information can be obtained from the abovementioned address  
Tenders or requests to participate must be submitted electronically via: <http://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>  
Tenders or requests to participate must be submitted to the abovementioned address

**I.4) Type of the contracting authority**

European institution/agency or international organisation

**I.5) Main activity**

Economic and financial affairs

**Section II: Object**

**II.1) Scope of the procurement**

**II.1.1) Title:**

External Support for On-site Missions  
Reference number: PRO-006194

**II.1.2) Main CPV code**

79210000 Accounting and auditing services

**II.1.3) Type of contract**

Services

II.1.4) **Short description:**

The ECB intends to select highly-qualified external service providers with experience in the assessment of banks' risks. This experience should include having reviewed compliance of Significant Institutions under the direct SSM supervision with banking regulation and having assessed applicable risk models and risk management processes. The Regulatory Requirements include Regulation (EU) No 575/2013 on prudential requirements for credit institutions and investments firms and Directive 2013/36/EU on access to the activity of credit institutions and the prudential supervision of credit institutions and investment firms, together with the level-2/implementing regulations.

The envisaged number of framework contracts to be awarded is 12 for Lot 1 (Expert assessments for OSIs and IMIs) and 4 for Lot 2 (Horizontal tasks with regards OSIs and IMIs).

II.1.5) **Estimated total value**

Value excluding VAT: 107 600 000.00 EUR

II.1.6) **Information about lots**

This contract is divided into lots: yes

Tenders may be submitted for maximum number of lots: 2

II.2) **Description**

II.2.1) **Title:**

Expert Assessments for On-site Inspections and Internal Model Investigations

Lot No: 1

II.2.2) **Additional CPV code(s)**

79210000 Accounting and auditing services

II.2.3) **Place of performance**

NUTS code: DE Deutschland

Main site or place of performance:

The tasks will be performed in connection with the ECB carrying out of OSIs and IMIs, at the Banks' premises (also outside Europe), at the ECB premises in Frankfurt a. M. and/or remotely.

II.2.4) **Description of the procurement:**

With regard to the services of Lot 1 (Expert assessments for On-Site Inspections and Internal Model Investigations), the contractor(s) shall execute independent tasks in connection with the ECB's carrying out of on-site inspections and internal model investigations performed as on-site inspections at the Banks' premises, at the ECB premises and/or remotely, upon specific request of the ECB. The contractor(s) shall execute independent assessments and provide additional perspectives based on their relevant expertise in specified areas that could be included in assessing the Banks' risks and/or reviewing Banks' compliance with the Regulatory Requirements. In doing so, the contractor(s) shall adhere to a variety of inspection techniques, including (but not limited to) observation, information verification and analysis, targeted interviews, walk-through tests, sampling, and data analysis, and contribute to the assessment report with a set of defined deliverables.

II.2.5) **Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6) **Estimated value**

II.2.7) **Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months: 36

This contract is subject to renewal: yes

Description of renewals:

Contracts can be extended for 12 additional months at the sole discretion of the ECB.

**II.2.9) Information about the limits on the number of candidates to be invited**

Envisaged number of candidates: 16

Objective criteria for choosing the limited number of candidates:

For Lot 1, the ECB intends to invite up to 16 Candidates to submit a tender provided that a sufficient number of candidates meeting the selection criteria is available. Further details to be found in the procurement documents.

**II.2.10) Information about variants**

Variants will be accepted: no

**II.2.11) Information about options**

Options: no

**II.2.13) Information about European Union funds**

The procurement is related to a project and/or programme financed by European Union funds: no

**II.2.14) Additional information**

**II.2) Description**

**II.2.1) Title:**

Horizontal Tasks with Regards to On-site Inspections and Internal Models Investigations

Lot No: 2

**II.2.2) Additional CPV code(s)**

79210000 Accounting and auditing services

79410000 Business and management consultancy services

**II.2.3) Place of performance**

NUTS code: DE Deutschland

Main site or place of performance:

The tasks will be performed at the ECB premises in Frankfurt a.M. and/or remotely.

**II.2.4) Description of the procurement:**

With regard to the services of Lot 2 (Horizontal tasks with regard to OSIs and IMIs), the Contractor(s) shall execute specific independent tasks upon request of the ECB for carrying out horizontal tasks related to OSIs and IMIs, including horizontal analyses of results at the ECB premises or remotely, upon specific request of the ECB. More specifically, the Contractor(s) shall execute the below (non-exhaustive) list of tasks:

- establishing project management related to all or a sub-set of OSIs and IMIs to enable the on-site supervisory activities, for instance for special, topical investigations;
- carrying out quality assurance of reviews and analyses according to a quality assurance framework and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB;
- training (potentially also including organizing examination and certifying) inspectors to ensure that the reviewers working in different teams apply the appropriate methodologies;
- providing expert advice on specific topical investigations, projects or ad-hoc questions concerning independently conducting horizontal analyses, benchmarking and peer comparisons and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB;
- providing expert advice on specific topical investigations, projects or ad-hoc questions concerning integrating, developing and maintaining methodologies, tools and templates and other documentation, and thereby bringing

in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB;

- providing expert advice on tasks related to banking supervision;
- providing expert advice on specific topical investigations, projects or ad-hoc questions concerning defining and implementing business requirements for databases, and thereby bringing in external, independent views and experience, in line with defined deliverables and guidelines provided by the ECB.

II.2.5) **Award criteria**

Price is not the only award criterion and all criteria are stated only in the procurement documents

II.2.6) **Estimated value**

II.2.7) **Duration of the contract, framework agreement or dynamic purchasing system**

Duration in months: 36

This contract is subject to renewal: yes

Description of renewals:

Contracts can be extended for 12 additional months at the sole discretion of the ECB.

II.2.9) **Information about the limits on the number of candidates to be invited**

Envisaged number of candidates: 6

Objective criteria for choosing the limited number of candidates:

For Lot 2, the ECB intends to invite up to 6 candidates to submit a tender provided that a sufficient number of candidates meeting the selection criteria is available. Further details are provided in the procurement documents.

II.2.10) **Information about variants**

Variants will be accepted: no

II.2.11) **Information about options**

Options: no

II.2.13) **Information about European Union funds**

The procurement is related to a project and/or programme financed by European Union funds: no

II.2.14) **Additional information**

**Section III: Legal, economic, financial and technical information**

III.1) **Conditions for participation**

III.1.1) **Suitability to pursue the professional activity, including requirements relating to enrolment on professional or trade registers**

List and brief description of conditions:

As stated in the procurement documents.

III.1.3) **Technical and professional ability**

Selection criteria as stated in the procurement documents

III.2) **Conditions related to the contract**

III.2.2) **Contract performance conditions:**

In line with the terms and conditions of the draft contract. See details in the procurement documents.

**Section IV: Procedure**

IV.1) **Description**

IV.1.1) **Type of procedure**

Competitive procedure with negotiation

IV.1.3) **Information about a framework agreement or a dynamic purchasing system**

The procurement involves the establishment of a framework agreement  
Framework agreement with several operators

**IV.1.5) Information about negotiation**

The contracting authority reserves the right to award the contract on the basis of the initial tenders without conducting negotiations

**IV.1.8) Information about the Government Procurement Agreement (GPA)**

The procurement is covered by the Government Procurement Agreement: no

**IV.2) Administrative information**

**IV.2.2) Time limit for receipt of tenders or requests to participate**

Date: 22/06/2021

Local time: 12:00

**IV.2.3) Estimated date of dispatch of invitations to tender or to participate to selected candidates**

Date: 02/08/2021

**IV.2.4) Languages in which tenders or requests to participate may be submitted:**

English

**IV.2.6) Minimum time frame during which the tenderer must maintain the tender**

Duration in months: 6 (from the date stated for receipt of tender)

**Section VI: Complementary information**

**VI.1) Information about recurrence**

This is a recurrent procurement: no

**VI.2) Information about electronic workflows**

Electronic invoicing will be accepted

**VI.3) Additional information:**

This tender procedure is conducted via the ECB's electronic tendering system (e-tendering) which can be accessed via the following internet address: <http://www.ecb.europa.eu/ecb/jobsproc/sourcing/html/index.en.html>

If you have not used the ECB's electronic tendering system before, you need to register via the above link (click on "Register"). Subsequently to the registration, you will receive an automated notification with your user credentials to log in (click on "Login") and to access the tender documentation. Please note that:

— A registration to the above system is only required once and you can reuse your user credentials in all future procedures of the ECB which are conducted via the above system. Therefore, please keep your user credentials for the future;

— In case you should have participated in previous ECB tender procedure(s) in the past which were conducted via the ECB's prior online system, you nevertheless need to register once to the ECB's electronic tendering system under the above address;

— Your mere registration does not constitute an application/tender. You must submit your application/tender via the ECB's electronic tendering system as further instructed in the application/tender documentation.

The system reference number for the above procurement is: RFX-PT-2021-000041: Lot 1 & 2: PRO-006194

External support

To download the tender documents, log on to the ECB's electronic tendering system with your login and password. Expand the field "Public Tenders – Upcoming Events". Click on "Click to request an invitation to this event" for the above procedure and close the new window which opens. Once the request is completed

(this can take a few minutes), the status of the participation request will change to “Invited” and the RFx documentation will be accessible via the RFx tab.

Under the above link you also find user guides for detailed information on how to use the system and contact details for technical support.

Should you require technical help when using the system, please contact the ECB’s technical support team at [sourcing.support@ecb.europa.eu](mailto:sourcing.support@ecb.europa.eu) by quoting the reference number and the problem experienced.

Any other queries relating to this procurement procedure and the content of the procurement documentation shall be submitted as message via the ECB’s e-tendering system (under the tab “Discussion”).

The ECB endeavours to answer all queries as quickly as possible but cannot guarantee a minimum time response. The ECB shall not be bound to reply to queries received less than seven calendar days before the time-limit for the submission of tenders.

The tender procedure shall be open on equal terms to all natural or legal persons resident or located in the European Union and to all natural and legal persons resident or located in a country which has ratified the World Trade Organization Agreement on Government Procurement or has concluded with the European Union a bilateral agreement on procurement under the conditions laid down in the said agreements.

The total value indicated in the section II.1.5), is an indicative non-binding spending estimate. The actual value may differ.

The tender procedure is conducted in accordance with ECB Decision 2016/2 laying down the Rules on Procurement (as amended), available on the ECB website at <https://www.ecb.europa.eu/ecb/legal/1001/procurement/html/index.en.html>

VI.4) **Procedures for review**

VI.4.1) **Review body**

Official name: Procurement Review Body of the European Central Bank, c/o Legal Advice Team

Postal address: Sonnemannstrasse 22

Town: Frankfurt am Main

Postal code: 60314

Country: Germany

Telephone: +49 6913440

Fax: +49 6913446886

Internet address: <http://www.ecb.europa.eu>

VI.4.2) **Body responsible for mediation procedures**

Official name: The European Ombudsman

Postal address: 1 avenue du Président Robert Schuman, CS 30403

Town: Strasbourg Cedex

Postal code: 67001

Country: France

VI.4.3) **Review procedure**

Precise information on deadline(s) for review procedures:

Within 10 days of receipt of the notification in accordance with Article 34(1) or the first sentence of Article 34(3) of Decision ECB/2016/2 laying down the Rules on Procurement, as amended. Further requirements are outlined in Article 39 of this Decision. A complaint to the European Ombudsman does not affect the deadline for lodging appeals.

VI.4.4) **Service from which information about the review procedure may be obtained**

Official name: Central Procurement Office of the European Central Bank  
Postal address: Sonnemannstrasse 22  
Town: Frankfurt am Main  
Postal code: 60314  
Country: Germany  
E-mail: [procurement@ecb.europa.eu](mailto:procurement@ecb.europa.eu)  
Telephone: +49 6913440  
Fax: +49 6913447110  
Internet address: <http://www.ecb.europa.eu>

VI.5) **Date of dispatch of this notice:**  
25/05/2021